

## **FINANCIAL INFORMATION**

In order to properly advise you of your options regarding estate plans, relevant tax issues, and trust asset funding requirements, we need to be aware of your financial situation. Please provide us with recent statements for the items listed below, which will allow the Attorney to discuss trust funding and tax liability requirements, which vary for each type of account. Please be assured that this information is confidential.

### **Real Property: Deeds/Legal Descriptions/Property Tax Bills**

1. Provide us with copies of:
  - a. Deeds to your home(s). Be sure a full copy of the legal description for each home is included and or attached to each deed.
  - b. Deeds to any other real property owned by you. Be sure that a full copy of the legal description is attached to the deed.
  - c. Copies of property tax bills for all property owned by you.

### **Bank and Credit Union Accounts: Statements**

1. Attach copies of statements from each:
  - a. Bank account
  - b. Credit union account

Statements should include account number, how title is held on the account (joint tenancy, sole owner, etc.) bank or credit union branch or address, and types of accounts (checking, savings, CD's, etc.)

### **Life Insurance and Annuities: Policies/Beneficiary Designations**

1. Attach copies of face page of each:
  - a. Life insurance policy.
  - b. Annuity.

Copies of policies should indicate owner, annuitant, face amount of policy, contract/policy no., beneficiary designations, and address for each company.

### **Retirement Accounts: Statements/Beneficiary Designations**

1. Attach copies of statements from retirement accounts including
  - a. Pension plans
  - b. IRA's
  - c. 401(k)'s, 403(b)'s, etc.

Please provide information regarding beneficiary designations and contact address of the plan administrator for each account.

**Securities/Brokerage Accounts: Statements**

1. Attach copies of:
  - a. Stock certificates
  - b. Bonds
  - c. Dividend reinvestment statements
  - d. Statements from brokerage accounts including account number, how title is held and address of institution.

**Business Interests (if any):**

1. Attach information regarding business interests including partnerships or corporations.

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**Boats/Recreational Vehicles/Automobiles (Classic):**

1. Year, make, model and how title is held.

**Artwork/Jewelry/Collections:**

1. List on a separate document your collection of artwork, jewelry, collectibles.
  - a. Artwork - if the combined value is greater than \$3,000.00
  - b. Jewelry – if the combined value is greater than \$3,000.00
  - c. Collectibles – if the combined value is greater than \$3,000.00

**Promissory Notes:**

1. Copies of promissory notes and deeds of trust indicating the amount of the note, who executed the note, interest rate, and due date.

**Miscellaneous:**

1. Attach copies of:
  - a. U.S. Savings bonds
  - b. Treasury Bill statements
  - c. Any other assets that do not fall within any of the above categories.

**Possible Future Inheritance (if any)**

1. Indicate if you are a possible beneficiary of pending probate or trust administration proceedings.